

DRAFT Audit Committee Work Programme 2016-17

Key: One-off Items

Topic	Monday 27.06.16 at 10.30am (CR4)	Wednesday 19.09.16 (Workshop 12.30-1.30pm) at 2pm (CR4)	Tuesday 29.11.16 at 2pm (CR4)	Tuesday 24.01.17 at 2pm (CR4)	Tuesday 14.03.17 at 1.30pm (CR1)	Tuesday 20.06.17 at 2pm (CR4)	
Wales Audit Office	Corporate Assessment Update	Corporate Assessment Update					
	Annual Improvement Report <i>(Deferred to Sept)</i>	Annual Improvement Report				Annual Improvement Report <i>(deferred to Sept)</i>	
	Regulatory Programme Letter/ Update Performance Audit Work & Fees 2016-17	Audit of Financial Statement Report for City of Cardiff Council (ISA260)					Regulatory Prog. Update & Fee information '17-18
		Audit of Financial Statement Report - Cardiff & Vale of Glamorgan Pension Fund (ISA260)				Cardiff & Vale Pension Fund Audit Plan <i>(deferred to June)</i>	Cardiff & Vale Pension Fund Audit Plan <i>(deferred from March)</i>
						Fee Benchmarking Data Update	Fee Benchmarking Data
						Annual Financial Audit Outline <i>(deferred to June)</i>	Annual Financial Audit Outline <i>(deferred from March)</i>
	Report Progress Updates	Report Progress Updates	Report Progress Updates	Report Progress Updates	Report Progress Updates	WAO Activity Update	Report Progress Updates
Treasury Management	Performance Report	Performance Report	Performance Report	Performance Report	Performance Report	Performance Report	
		Annual Report	Half Year Report		Treasury Mgmt Practices	Treasury Benchmarking	
				Draft Strategy '17-18			
Finance	Financial Update including Resilience Issues	Financial Update including Resilience Issues	Financial Update including Resilience Issues	Financial Update including Resilience Issues	Financial Update including Resilience Issues	Financial Update including Resilience Issues	
	Draft Statement of Accounts for '15-16 (including the AGS)	Draft Statement of Accounts for '15-16 (including the AGS)				Draft Statement of Accounts '15-16 (including the AGS)	
		<i>[Cardiff & Vale of Glamorgan Pension Fund Statement of Accounts 2015/16 (contained in SOA)]</i>					
		Welsh Pool Pension Fund Governance Aspects					
	WAO Tracker/Other Studies	WAO Tracker/Other Studies	WAO Tracker/Other Studies	WAO Tracker/Other Studies	WAO Tracker/Other Studies	WAO Tracker/Other Studies	WAO Tracker/Other Studies
Internal Audit		Progress update (to include Benchmarking & Comparative Data Matching)	Half Yearly Progress Report	Progress Update	Progress Update	Progress Update	
	Internal Audit Annual Report '15-16	Progress Update (Highways Audit Feedback)		Draft Audit Charter '17-18	Audit Charter '17-18	Internal Audit Annual Report '16-17	
		VFM Exercise – Supply Teachers					
Governance and Risk Management	Audit Committee Annual Rep. '15-16			Audit Committee Annual Report Discussion	Audit Committee Draft Annual Rep. '16-17	Audit Committee Annual Rep. '16-17	
	Senior Management Assurance Statement Review – Feedback		Senior Management Assurance Statement Review			Senior Management Assurance Statement Review – Feedback – Final Position	
				AGS '16-17 Action Plan (Mid-Year)	Draft Annual Governance Statement		
	Corporate Risk Register (Year-End)	Risk Management Update	Corporate Risk Register (Mid-Year) [to include Corporate Risk Map]		Risk Management Interim Update [to include Corporate Risk Map]	Corporate Risk Register (Year-End) [to include Corporate Risk Map]	
			Self-Assessment Feedback/Action Plan	Audit /Scrutiny Protocol			

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Operational matters / Key risks	Director of Education – Annual Report on Governance (including Balances) & Deficits in Schools (Deferred to Sept.)	Director of Education - Annual Report on Governance (including Balances) & Deficits in Schools	Director Social Services (Financial Position)	Links between the ODP and Medium Term Financial Plan		Director City Operations Update on Highway Payroll Review
		Director City Operations – Response to Internal Audit Report (Highways Payroll Follow up Review		Building Maintenance Framework		